

## MICROPARTICLES USEFUL AS ULTRASONIC CONTRAST AGENTS

### Related Applications

This application is a continuation of U.S. application  
5 Serial No. 09/758,988 filed January 11, 2001, currently pending,  
which is a divisional of U.S. application Serial No. 09/070,474  
filed April 30, 1998, now U.S. Patent No. 6,193,951, which is a  
continuation-in-part of U.S. application Serial No. 08/847,153  
filed April 30, 1997, now abandoned, the contents of which are  
10 incorporated herein by reference.

### Background of the Invention

Hollow microparticles, sometimes called microbubbles or  
microspheres, are efficient for back scattering ultrasound  
energy. Thus, small microbubbles injected into the bloodstream,  
15 can enhance ultrasonic echographic imaging to aid the  
visualization of internal structures, such as the heart and blood  
vessels. The ultrasound contrast is achieved when acoustic  
impedance between two materials at an interface is different.  
Thus, the greater the difference of acoustic impedance between  
20 the materials, the greater the intensity of an ultrasound echo  
from that interface. Since there is a large difference between  
the acoustic impedance between body tissue and gas, gas  
containing microparticles circulating within tissue or blood are  
strong back scatterers of the ultrasound energy. For use in the  
25 circulatory system, microparticles should have a diameter of less  
than about ten microns in order to pass through the capillaries  
of the circulatory system. The lower limit of sufficient  
echogenicity of a microparticle is about one to two microns.

In cardiology, microparticles are useful for intravenous  
30 injection, thereby providing ultrasound contrast in the right  
chambers of the heart, enhancing identification of cardiac  
structures, valve functions and detection of intracardiac shunts.  
However in order to visualize the left chambers of the heart, the  
microparticles must first pass through the pulmonary circulation  
35 system. Such particles must be small enough to pass through the  
pulmonary capillaries. Otherwise they are trapped within the

lungs. They must also have sufficient structural strength to survive the pressures within the left chambers of the heart.

5        Microparticles also permit the definition of volumes, wall  
motion, and other factors that identify diseased states within  
the heart. The use of contrast agents also facilitates use of  
Doppler ultrasound techniques because strong echo sources moving  
in the bloodstream are far more echogenic than red blood cells,  
which are the usual echo sources used in Doppler ultrasound  
10        techniques. Contrast agents in blood may also be used to locate  
the presence of blood in areas of the body or identify the  
absence of blood by the lack of echogenicity in areas that should  
be echogenic. Examples of such uses are the use of  
microparticles for assessment of perfusion to the myocardium, and  
15        for assessment of defects in the coronary septum by the flow of  
particles through the septum separating the cardiac chambers.  
Another example is the use of microparticles to identify vascular  
emboli such as blood clots, and abnormal growths into the  
vascular chambers by the absence of the ultrasonic contrast.

20        Other uses of contrast agents are to examine organ  
perfusion, such as to assess the damage caused by an infarct, to  
examine organs such as the liver, or to differentiate between  
normal and abnormal tissues, such as tumors and cysts.

25        The present invention provides microparticle contrast agents  
which are delivered intravenously but are capable of passing  
through the pulmonary circulation system for enhanced examination  
and diagnosis of both sides of the heart as well as examination  
of other tissues and organs as described above.

30        In addition to diagnostic imaging, the microparticles  
according to the present invention are also used for drug  
delivery where the drug is released from the particle by  
diffusion from the microparticle, by degradation of the  
microparticle, or by rupture of the particle using ultrasonic  
energy.

### Summary of the Invention

The present invention provides compositions of microparticles of which the majority of the microparticles have diameters within the range of about one to ten microns, have an outer layer comprising a biologically compatible material and an inner layer comprising a biodegradable polymer. The microparticles may have a hollow core, containing either a gas or a liquid, or a solid core.

The outer layer may be chosen on the basis of biocompatibility with the blood stream and tissues, whereas the inner layer may be selected on the basis desired mechanical and acoustic properties. The materials of both layers may be selected to predetermine the strength of the microparticle, for example to provide a desired resonant frequency and stability within threshold diagnostic imaging levels of ultrasound radiation. Methods for forming the multi-layered microparticles and the use in ultrasonic diagnostic imaging and drug delivery are also provided. The layers may also be chosen by their capability to contain and deliver drugs.

### Brief Description of the Drawings

Figure 1 is a graph of the time course of the reflected ultrasound intensity in the left atrium in a test of a contrast agent according to example 7.

Figure 2 is a graph of the time course of the reflected ultrasound intensity in the left atrium of the contrast agent tested in accordance with example 8.

Figure 3 is a graph of the volumetric size distribution of the unfiltered microcapsules made in example 13, and the size distribution of when the suspension is filtered.

Figure 4 shows the resonant frequencies of two microcapsule preparations having different wall compositions which were tested in accordance with example 18.

### Description of the Preferred Embodiments

As used herein the term microparticles is intended to include microcapsules, microspheres and microbubbles which are hollow particles enclosing a core which may be filled with a gas or liquid. It also includes particles in which the core may be a solid material. It is not necessary for the microparticles to be precisely spherical although they generally will be spherical and described as having average diameters. If the microparticles are not spherical, then the diameters are referred to or linked to the diameter of a corresponding spherical microparticle having the same mass and enclosing approximately the same volume of interior space as a non-spherical microparticle.

The microparticles according to the present invention have a bi-layered shell. The outer layer of the shell will be a biologically compatible material or biomaterial since it defines the surface which will be exposed to the blood and tissues within the body. The inner layer of the shell will be a biodegradable polymer, which may be a synthetic polymer, which may be tailored to provide the desired mechanical and acoustic properties to the shell or provide drug delivery properties. For use as ultrasound contrast agents, the cores of the microparticles contain gas, typically air or nitrogen. However, for drug delivery purposes the core may either be a liquid or a different solid material from the shell layers. To make the microparticles rupturable by a low intensity ultrasound energy, however, they must contain a gas to allow acoustic coupling and particle oscillation.

Microparticles are constructed herein such that the majority of those prepared in a composition will have diameters within the range of about one to ten microns in order to pass through the capillary system of the body.

Since the microparticles have an outer and inner layer, the layers can be tailored to serve different functions. The outer shell which is exposed to the blood and tissues serves as the biological interface between the microparticles and the body.

Thus it will be made of a biocompatible material which is typically amphiphilic, that is, has both hydrophobic and hydrophilic characteristics. Blood compatible materials are particularly preferred. Such preferred materials are biological materials including proteins such as collagen, gelatin or serum albumins or globulins, either derived from humans or having a structure similar to the human protein, glycosoaminoglycans such as hyaluronic acid, heparin and chondroitin sulphate and combinations or derivatives thereof. Synthetic biodegradable polymers, such as polyethylene glycol, polyethylene oxide, polypropylene glycol and combinations or derivatives may also be used. The outer layer is typically amphiphilic, as well as having a chemistry which allows charge and chemical modification. The versatility of the surface allows for such modifications as altering the charge of the outer shell, such as by selecting a type A gelatin having an isoelectric point above physiological pH, or by using a type B gelatin having an isoelectric point below physiological pH. The outer surfaces may also be chemically modified to enhance biocompatibility, such as by PEGylation, succinylation or amidation, as well as being chemically binding to the surface targeting moiety for binding to selected tissues. The targeting moieties may be antibodies, cell receptors, lectins, selectins, integrins or chemical structures or analogues of the receptor targets of such materials. The mechanical properties of the outer layer may also be modified, such as by cross linking, to make the microparticles suitable for passage to the left ventricle, to provide a particular resonant frequency for a selected harmonic of the diagnostic imaging system, or to provide stability to a threshold diagnostic imaging level of the ultrasound radiation.

The inner shell will be a biodegradable polymer, which may be a synthetic polymer. An advantage of the inner shell is that it provides additional mechanical or drug delivery properties to the microparticle which are not provided or insufficiently

provided by the outer layer, or enhances mechanical properties not sufficiently provided by the outer layer, without being constrained by surface property requirements. For example, a biocompatible outer layer of a cross-linked proteinaceous hydrogel can be physically supported using a high modulus synthetic polymer as the inner layer. The polymer may be selected for its modulus of elasticity and elongation, which define the desired mechanical properties. Typical biodegradable polymers include polycaprolactone, polylactic acid, polylactic-polyglycolic acid co-polymers, co-polymers of lactides and lactones, such as epsilon-caprolactone, delta-valerolactone, polyalkylcyanoacrylates, polyamides, polyhydroxybutyrates, polydioxanones, poly-beta-aminoketones, polyanhydrides, poly-(ortho)esters, polyamino acids, such as polyglutamic and polyaspartic acids or esters of polyglutamic and polyaspartic acids. References on many biodegradable polymers are cited in Langer, et. al. (1983) *Macromol.Chem.Phys.*C23, 61-125.

The inner layer permits the modification of the mechanical properties of the shell of the microparticle which are not provided by the outer layer alone. Moreover, the inner layer may provide a drug carrier and/or drug delivery capacity which is not sufficient or providable by the outer layer alone. For use as an ultrasonic contrast agent, the inner layer will typically have thickness which is no larger than is necessary to meet the minimum mechanical or drug carrying/delivering properties, in order to maximize the interior gas volume of the microparticle. The greater the gas volume within the microparticle the better the echogenic properties.

The combined thickness of the outer and inner layers of the microparticle shell will depend in part on the mechanical and drug carrying/delivering properties required of the microparticle, but typically the total shell thickness will be in the range of 25 to 750 nm.

The microparticles may be prepared by an emulsification

process to control the sequential interfacial deposition of shell materials. Due to the amphiphilicity of the material forming the outer layer, stable oil/water emulsions may be prepared having an inner phase to outer phase ratio approaching 3:1, without phase inversion, which can be dispersable in water to form stable organic phase droplets without the need for surfactants, viscosity enhancers or high shear rates.

Two solutions are prepared, the first being an aqueous solution of the outer biomaterial. The second is a solution of the polymer which is used to form the inner layer, in a relatively volatile water-immiscible liquid which is a solvent for the polymer, and a relatively non-volatile water-immiscible liquid which is a non-solvent for the polymer. The relatively volatile water-immiscible solvent is typically a C5-C7 ester, such as isopropyl acetate. The relatively non-volatile water-immiscible non-solvent is typically a C6-C20 hydrocarbon such as decane, undecane, cyclohexane, cyclooctane and the like. In the second solution containing the polymer for the inner layer, the polymer in water-immiscible solvents are combined so that the polymer fully dissolves and the two solvents are miscible with agitation. The polymer solution (organic phase) is slowly added to the biomaterial solution (aqueous phase) to form a liquid foam. Typically about three parts of the organic polymer solution having a concentration of about 0.5 to 10 percent of the polymer is added to one part of the aqueous biomaterial solution having a concentration of about 1 to 20 percent of the biomaterial. The relative concentrations of the solutions and the ratio of organic phase to aqueous phase utilized in this step essentially determine the size of the final microparticle and wall thickness. After thorough mixing of the liquid foam, it is dispersed into water and typically warmed to about 30 - 35°C with mild agitation. While not intending to be bound by a particular theory, it is believed that the biomaterial in the foam disperses into the warm water to stabilize an emulsion of the polymer in

the organic phase encapsulated within a biomaterial envelope. To render the biomaterial envelope water insoluble, a cross linking agent, such as glutaraldehyde, is added to the mixture to react with the biomaterial envelope and render it water insoluble, stabilizing the outer shell. Other cross-linking agents may be used, including the use of carbodiimide cross-linkers.

Since at this point the inner core contains a solution of a polymer, a solvent and a non-solvent with different volatilities, as the more volatile solvent evaporates, or is diluted, the polymer precipitates in the presence of the less volatile non-solvent. This process forms a film of precipitate at the interface with the inner surface of the biomaterial shell, thus forming the inner shell of the microparticle after the more volatile solvent has been reduced in concentration either by dilution, evaporation or the like. The core of the microparticle then contains predominately the organic non-solvent. The microparticles may then be isolated by centrifugation, washed, formulated in a buffer system, if desired, and dried. Typically, drying by lyophilization removes not only the non-solvent liquid core but also the residual water to yield gas-filled hollow microparticles.

It may be desirable to further modify the surface of the microparticle, for example, in order to passivate surfaces against macrophages or the reticuloendothelial system (RES) in the liver. This may be accomplished, for example by chemically modifying the surface of the microparticle to be negatively charged since negatively charged particles appear to better evade recognition by macrophages and the RES than positively charged particles. Also, the hydrophilicity of the surface may be changed by attaching hydrophilic conjugates, such as polyethylene glycol (PEGylation) or succinic acid (succinylation) to the surface, either alone or in conjunction with the charge modification.

The biomaterial surface may also be modified to provide



targeting characteristics for the microparticle. The surface may be tagged by known methods with antibodies or biological receptors. For example, if the microparticle were treated to target tumors and were hollow, they could be used for ultrasound detection to enhance diagnosis of the tumors. If the microparticles were filled with drugs they could be used to target the tumors where the drug could be preferentially released at the target site, for example, by increasing the ultrasonic energy to rupture the particles at the appropriate time and location.

The microparticles may also be sized or processed after manufacture. This is an advantage over lipid-like microparticles which may not be subjected to mechanical processing after they are formed due to their fragility.

The final formulation of the microparticles after preparation, but prior to use, is in the form of a lyophilized cake. The later reconstitution of the microparticles may be facilitated by lyophilization with bulking agents which provide a cake having a high porosity and surface area. The bulking agents may also increase the drying rate during lyophilization by providing channels for the water and solvent vapor to be removed. This also provides a higher surface area which would assist in the later reconstitution. Typical bulking agents are sugars such as dextrose, mannitol, sorbitol and sucrose, and polymers such as PEG's and PVP's.

It is undesirable for the microparticles to aggregate, either during formulation or during later reconstitution of the lyophilized material. Aggregation may be minimized by maintaining a pH of at least one to two pH units above or below the isoelectric point ( $P_i$ ) of the biomaterial forming the outer surface. The charge on the surface is determined by the pH of the formulation medium. Thus, for example, if the surface of the biomaterial has a  $P_i$  of 7 and the pH of the formulation medium is below 7, the microparticle will possess a net positive surface

charge. Alternatively, if the pH of the formulation medium is greater than 7, the microparticle would possess a negative charge. The maximum potential for aggregation exist when the pH of the formulation medium approaches the  $P_i$  of the biomaterial used in the outer shell. Therefore by maintaining a pH of the formulation medium at least one to two units above or below the  $P_i$  of the surface, microparticle aggregation will be minimized. As an alternative, the microparticles may be formulated at or near the  $P_i$  with the use of surfactants to stabilize against aggregation. In any event, buffer systems of the final formulation to be injected into the subject should be physiologically compatible.

The bulking agents utilized during lyophilization of the microparticles may also be used to control the osmolality of the final formulation for injection. An osmolality other than physiological osmolality may be desirable during the lyophilization to minimize aggregation. However, when formulating the microparticles for use, the volume of liquid used to reconstitute the microparticles must take this into account.

Other additives may be included in order to prevent aggregation or to facilitate dispersion of the microparticles upon formulation. Surfactants may be used in the formulation such as poloxomers (polyethylene glycol-polypropylene glycol-polyethylene glycol block co-polymers). Water soluble polymers also may assist in the dispersion of the microparticles, such as medium molecular weight polyethyleneglycols and low to medium molecular weight polyvinylpyrrolidones.

If the formulation is to contain a drug-containing core, the microparticles may be soaked in a solution of the drug whereby the solution diffuses into the interior. In particular, the use of bilayered microparticles where the inner shell has a porous characteristic allows for rapid diffusion of a drug solution into the hollow core. The microparticles may be re-dried such as by lyophilization to produce a gas filled, drug containing

microparticle. The combination of the drug with prefabricated particles allows one to avoid processing which may lead to drug degradation. To provide microparticles having a solid core  
5 containing a drug, during formation of the microparticles, the thickness of the inner layers may be increased to occupy more or all of the interior volume. Then, by later soaking in the drug-containing solution, the inner solid core will absorb the drug and provide a solid reservoir for the drug. Alternatively, the  
10 drug may be dissolved in the organic phase with the biopolymer during the microparticle forming process. Evaporation of the organic solvents causes the drug to coprecipitate with the biopolymer inside the microparticle.

It will be realized that various modifications of the above-  
15 described processes may be provided without departing from the spirit and scope of the invention. For example, the wall thickness of both the outer and inner layers may be adjusted by varying the concentration of the components in the microparticle-forming solutions. The mechanical properties of the  
20 microparticles may be controlled, not only by the total wall thickness and thicknesses of the respective layers, but also by selection of materials used in each of the layers by their modulus of elasticity and elongation, and degree of cross-linking of the layers. Upon certain conditions involving rapid  
25 deposition of the inner polymer or very low inner polymer content porosity of the inner polymer shell is observed. The pores range from approximately 0.1 to 2 micron in diameter as observed under electron microscopy. Mechanical properties of the layers may also be modified with plasticizers or other additives.  
30 Adjustment of the strength of the shell may be modified, for example, by the internal pressure within the microparticles. Precise acoustical characteristics of the microparticle may be achieved by control of the shell mechanical properties, thickness, as well as narrow size distribution. The  
35 microparticles may be ruptured by ultrasonic energy to release

gases trapped within the microparticles into the blood stream.

In particular, by appropriately adjusting the mechanical properties, the particles may be made to remain stable to

5 threshold diagnostic imaging power, while being rupturable by an increase in power and/or by being exposed to its resonant

frequency. The resonant frequency can be made to be within the range of transmitted frequencies of diagnostic body imaging

systems or can be a harmonic of such frequencies. During the

10 formulation process the microparticles may be prepared to contain various gases, including blood soluble or blood insoluble gases.

It is a feature of the invention that microparticle compositions may be made having a resonant frequency greater or equal to 2 MHz, and typically greater or equal to 5 MHz.

15 Typical diagnostic or therapeutic targets for microparticles of the invention are the heart and tumors.

The following examples are provided by way of illustration but are not intended to limit the invention in any way.

#### 20 Example 1

##### Preparation of gelatin polycaprolactone microparticles

A solution of 1.0 gms gelatin (275 bl, isoelectric point of  
25 4.89) dissolved in 20 ml deionized water was prepared at approximately 60 C. Native pH of the solution was 5.07.

Separately, 1.0 gms polycaprolactone (M.W. 50,000) and 6.75 ml cyclooctane was dissolved in 42 ml isopropyl acetate with stirring at approximately 70 C. After cooling to 37 C, the  
30 organic mixture was then slowly incorporated into the gelatin solution maintained at 30 C and under moderate shear mixing using a rotary mixer. Once the organic phase was fully incorporated, the mixing rate was increased to 2,500 rpm for 5 minutes and then stirred at low shear for an additional 5 minutes. The resulting  
35 o-w emulsion was then added with stirring to 350 ml deionized

water maintained at 30 C and containing 1.2 ml 25% gluteraldehyde. Immediately after the addition of the emulsion, the bath pH was adjusted to 4.7. After 30 minutes, the pH was adjusted to 8.3. Low shear mixing was continued for approximately 2 ½ hours until the isopropyl acetate had completely volatilized. Polyoxamer 188 in the amount of 0.75 gm was then dissolved into the bath. The resulting microparticles were retrieved by centrifugation and washed 2 times in an aqueous solution of 0.25% polyoxamer 188.

Microscopic inspection of the microparticles revealed spherical capsules having a thin-walled polymer shell encapsulating a liquid organic core. Staining the slide preparation with coomassie blue G indicated the presence of an outer protein layer uniformly surrounding the polymer shell.

The particle size spectrum was determined using a Malvern Micro. Median diameter was 4.78 microns with a spectrum span of 0.94.

## Example 2

### Preparation of contrast agent formulation

A quantity of microparticles prepared in a manner similar to example 1 were suspended into an aqueous solution of 25mM glycine, 0.5% pluronic f-127, 1.0% sucrose, 3.0% mannitol, and 5.0% PEG-3400. The suspension was then lyophilized. The resulting dry powder was reconstituted in deionized water and examined under the microscope to reveal that the microparticles now contained a gaseous core. Staining the preparation with commassie blue G confirmed that the outer protein layer surrounding the capsules was intact and had survived the lyophilization process.

Echogenicity was confirmed by insonating at both 2 ½ and 5 MHz a quantity of lyophilized microparticles dispersed in 120 ml deionized water. Measurement was taken at least 15 minutes after

dispersion of the microcapsules to insure that the back scattered signal was due solely from the gas contained within the microparticles. The B mode display showed a high contrast indicating that the microparticles were gas filled.

### Example 3

#### Preparation of gelatin polylactide microparticles

A solution of 1.2 gm gelatin (225 bloom, isoelectric point of 5.1) dissolved in 20 ml deionized water was prepared at approximately 50 C. Solution pH was adjusted to 6.1 using 1 M NaOH. Separately, 0.07 gms paraffin, 4.5 ml decane, and 0.69 gms poly DL-lactide (inherent viscosity of 0.69 dL/gm in CHCl<sub>3</sub>, @ 30 C) was dissolved into 37 ml isopropyl acetate. The organic mixture was then slowly incorporated into the gelatin solution which was being maintained at 30 C under moderate shear mixing using a rotary mixer. Once the organic phase was fully incorporated, the mixing rate was increased to 2,000 rpm for 2 minutes and then reduced to approximately 1,000 rpm for 4 minutes. The resulting liquid foam was mixed into 350 ml deionized water maintained at 30 C and 1 ml 25% gluteraldehyde was then added dropwise. Rotary mixing was continued for approximately 3 hours until the isopropyl acetate had volatilized. The resulting microparticles were retrieved by centrifugation and washed 2 times in an aqueous solution of 0.25% pluronic f-127.

Microscopic inspection revealed hollow spherical microparticles having an outer protein layer and an inner organic liquid core.

The microparticles were lyophilized and tested in a manner similar to example 2. The results confirmed that the microparticles contained a gaseous core and were strongly echogenic.

#### Example 4

##### Preparation of gelatin polycaprolactone microparticles

5 A solution of 1.0 gm gelatin (225 bloom, isoelectric point of 5.1) dissolved in 20 ml deionized water was prepared at approximately 60 C. Solution pH was 4.8. Separately, 0.57 gms polycaprolactone (M.W. 50,000) was dissolved into 1.72 ml tetrahydrofuran. To this was added with stirring a mixture of  
10 0.07 gms paraffin, 0.475 gm triethyl citrate, 4.5 ml cyclooctane, and 42 ml isopropyl acetate. The organic mixture was then slowly incorporated into the gelatin solution which was maintained at 30 C and under moderate shear mixing using a rotary mixer. Once the organic phase was fully incorporated, the mixing rate was  
15 increased to 4,700 rpm for 2 minutes and then reduced to 2,000 rpm for 4 minutes. The resulting liquid foam was then added with stirring to 350 ml of 30 C deionized water. To crosslink the gelatin, 1 ml of 25% glutaraldehyde was added dropwise. Mixing was continued for approximately 3 hours until the isopropyl  
20 acetate had volatilized. The resulting microparticles were retrieved by centrifugation and washed 2 times in a 0.25% pluronic f-127 solution.

Microscopic inspection revealed discrete hollow spherical polymer microparticles having an outer protein layer and an inner  
25 organic liquid core.

The microparticles were lyophilized and tested in a manner similar to example 2. The results confirmed that the microparticles contained a gaseous core and were strongly echogenic.

#### Example 5

##### Preparation of gelatin polycaprolactone microparticles with cardodiimide cross-linking

35 A solution of 1.0 grams gelatin (225 bloom, isoelectric

point of 5.1) dissolved into 20 ml deionized water was prepared at approximately 60 C. Solution pH was adjusted to 5.5 with 1 M NaOH. Separately, 0.85 gms polycaprolactone (M.W. 80,000) was dissolved in 2.5 ml tetrahydrofuran. To this was added with stirring a mixture of 0.07 gms paraffin, 4.5 ml cyclooctane and 42 ml isopropyl acetate. The organic mixture was then slowly incorporated into the gelatin solution which was maintained at 30 C and under moderate shear mixing using a rotary mixer. Once the organic phase was fully incorporated, the mixing rate was increased to 3,500 rpm for 6 minutes and then reduced to 3,000 rpm for 4 minutes. The resulting liquid foam was then dispersed with low shear mixing into 350 ml of a 0.5 M NaCl solution maintained at 30 C. Gelatin crosslinking was accomplished by the slow addition of 200 mg of 1-ethyl-3-(3-dimethylamino-propyl)carbodiimide dissolved in 3.0 ml deionized water. Mixing was continued for approximately 3 hours until the isopropyl acetate had volatilized. The resulting microparticles were retrieved by centrifugation and washed 2 times in an aqueous solution of 0.25% Pluronic f-127.

Microscopic inspection revealed discrete hollow spherical polymer microparticles having an outer protein layer and an inner organic liquid core.

#### Example 6

##### Preparation of surface PEGylated microparticles

Microcapsules were prepared in a manner similar to example 1. After centrifugation the cream (approximately 15 ml) was retrieved and dispersed into a solution of 65 ml deionized water, 0.50 gms methoxy-PEG-NCO (M.W. 5000), and 0.50 ml triethylamine. After allowing the mixture to react overnight at room temperature and with mild agitation, the capsules were retrieved by centrifugation and washed 3 times in a neutrally buffered solution of 0.25% Pluronic f-127.



One vial of lyophilized microparticles prepared in Example 2 were reconstituted using water. A transesophageal ultrasound probe was positioned in the esophagus of an anesthetized dog such that a four-chamber view of the heart was obtained. The microparticle suspension was injected into the femoral vein of the dog. The appearance of the contrast agent was clearly noted in the ultrasound image of the right chambers of the heart. Subsequently, the agent was observed in the left chambers of the heart indicating the passage through the capillary system of the lungs. The time-course of the reflected ultrasound intensity in the left atrium was determined by video densitometry. The agent was seen to persist in the left chambers of the heart for approximately 6 minutes (Fig. 1).

Example 8

Canine study of echogenicity using PEGylated microparticles

One vial of lyophilized microparticles prepared in Example 6 was reconstituted using water. A transesophageal ultrasound probe was positioned in the esophagus of an anesthetized dog such that a four-chamber view of the heart was obtained. The microparticle suspension was injected into the femoral vein of the dog. The appearance of the contrast agent was clearly noted in the ultrasound image of the right chambers of the heart. Subsequently, the agent was observed in the left chambers of the heart indicating the passage through the capillary system of the lungs. The time-course of the reflected ultrasound intensity in the left atrium was determined by video densitometry. The agent was seen to persist in the left chambers of the heart for approximately 16 minutes (Fig. 2) after which time no further

data was collected.

#### Example 9

##### Preparation of albumin polycaprolactone microparticles

A 6% aqueous solution was prepared from a 25% solution of USP grade human serum albumin (Alpha Therapeutic Corp) by dilution with deionized water. The solution was adjusted to a pH of 3.49 using 1 N HCl. Separately, 8 parts by weight polycaprolactone (M.W. 50,000) and 45 parts cyclooctane were dissolved in 300 parts isopropyl acetate at approximately 70 C. Once dissolution was complete, the organic solution was allowed to cool to 37 C. With mild stirring, 42.5 gm of the prepared organic solution was slowly incorporated into 25.0 gm of the albumin solution while the mixture was maintained at 30 C. The resulting coarse o-w emulsion was then circulated through a stainless steel sintered metal filter element having a nominal pore size of 7 microns. Recirculation of the emulsion was continued for 8 minutes. The emulsion was then added with stirring to 350 ml deionized water maintained at 30 C and containing 1.0 ml of 25% gluteraldehyde. During the addition, the pH of the bath was monitored to insure that it remained between 7 and 8. Final pH was 7.1. Low shear mixing was continued for approximately 2 ½ hours until the isopropyl acetate had completely volatilized. Poloxamer 188 in the amount of 0.75 gm was then dissolved into the bath. The resulting microparticles were retrieved by centrifugation and washed 2 times in an aqueous solution of 0.25% poloxamer.

Microscopic inspection of the suspension revealed spherical particles having a thin-walled polymer shell with an outer protein layer and an organic liquid core. The peak diameter as, determined by the Malvern Micro particle size analyzer, was 4.12 microns.

The suspension was then lyophilized in a manner similar to

that described in Example 2. The resulting dry cake was reconstituted with deionized water and examined under the microscope to reveal that the microparticles were spherical, discrete, and contained a gaseous core.

#### Example 10

##### Protein content of microparticles

Microparticles were prepared in accordance with example 9. After centrifugation approximately 1 ml of the microparticle containing cream was retrieved and diluted 10 to 1 using deionized water. From the diluted cream, 20 microliter samples were then prepared in triplicate at 1x, 2x, and 4x dilutions with deionized water. Protein content of the samples were determined using a Pierce colorimetric BCA assay and a bovine serum albumin standard. Average total protein of the diluted cream was determined to be 0.441 mg/ml. To determine the total dry weight of the diluted cream, 2 ml were dried in a 40 C oven until no further weight change was observed (approximately 16 hours). The average weight of 4 replicates was 6.45 mg/ml. The percent dry weight of protein which can be used as a measure of the ratio of the protein outer layer to the polymer inner layer of the microcapsule wall can be determined with the following formula.

$$\text{Average total protein/ml} \div \text{dry weight/ml} \times 100\%$$

The percent dry weight of protein was calculated to be 6.8%.

#### Example 11

##### Preparation of albumin polylactide microparticles

A 6% aqueous solution was prepared from a 25% solution of USP grade human albumin by dilution with deionized water. Ion exchange resin ( AG 501-X8, BioRad Laboratories) was then added

to the solution at a ratio of 1.5 gm resin to 1.0 gm dry weight of albumin. After 3 hours the resin was removed by filtration and the pH of the solution was adjusted from 4.65 to 5.5

5 Separately, 0.41 gm d-l lactide (0.69 dL/gm in CHCl<sub>3</sub> at 30 C) and 5.63 gm cyclooctane were dissolved in 37.5 gm isopropyl acetate. The organic solution was then slowly incorporated into 25.0 gm of the prepared albumin solution with mild stirring while the mixture was maintained at 30 C. The resulting coarse o-w  
10 emulsion was then circulated through a stainless steel sintered metal filter element having a nominal pore size of 7 microns. Recirculation of the emulsion was continued for 8 minutes. The emulsion was then added with stirring to 350 ml deionized water maintained at 30 C and containing 1.0 ml of 25% gluteraldehyde.  
15 During the addition, the pH of the bath was monitored to insure that it remained between 7 and 8. Final pH was 7.0. Low shear mixing was continued for approximately 2½ hours until the isopropyl acetate had completely volatilized. Polyoxamer 188 in the amount of 0.75 gm was then dissolved into the bath. The  
20 resulting microspheres were retrieved by centrifugation and washed 2 times in an aqueous solution of 0.25% polyoxamer.

Microscopic inspection revealed hollow spherical polymer microparticles having an outer protein layer and an inner organic liquid core. The suspension was formulated with a glycine/PEG  
25 3350 excipient solution, then lyophilized. The resulting dry cake was reconstituted with deionized water and examined under the microscope to reveal that the microparticles were spherical, discrete, and contained a gaseous core.

### 30 Example 12

#### PEG modification of the microparticle surface

35 Microparticles were prepared in a manner similar to example 9. After centrifugation, 4 ml of the microparticles containing cream (approximately 11 ml total yield) was resuspended in 31 ml

deionized water. To this was added a 10 ml solution containing 0.3 gm methoxy-peg-NCO 5000 and the pH was adjusted to 8.7. The mixture was allowed to react at room temperature with mild agitation for 4½ hours. At the end of this period the pH was measured to be 7.9. The microparticles were retrieved by centrifugation and washed 2 times in a 0.25% solution of polyoxamer 188. The suspension was formulated with a glycine/PEG 3350 excipient solution, then lyophilized. The resulting dry cake was reconstituted with deionized water and examined under the microscope to reveal that the microparticles were spherical, discrete, and contained a gaseous core.

### Example 13

#### Post-fabrication, modification of size distribution

A quantity of microparticles were first prepared in a manner similar to example 1 with procedures modified to provide a broadened size spectrum. After washing and retrieval by centrifugation roughly half the microparticle containing cream was diluted to 125 ml with a 0.25% solution of polyoxamer 188. The suspension was then filtered using a 5 micron sieve type pc membrane filter (Nuclepore) housed in a stirred cell (Amicon). The retentate was discarded while the permeate was again filtered using a 3 micron sieve type filter in the stirred cell system until the retentate volume reached approximately 20 ml. The retentate was diluted to a volume of 220 ml using 0.25% polyoxamer 188 solution. The 3 micron filtration process was repeated until the retentate volume was again approximately 20 ml.

Figure 3 provides a comparison of the volumetric size distribution of the unfiltered microparticle suspension with the 5 micron permeate and the 3 micron retentate. The results, derived from a Malvern Micro particle size analyzer show a stepwise narrowing of the size spectrum toward a specific size

range defined by the pore size of the filters used.

#### Example 14

##### Representative canine study of echogenicity

A 31 kg, thoracotomized male mongrel dog was injected with 1 cc of reconstituted microparticle composition made according to example 4. This was delivered to the circulation through a peripheral venous injection. Triggered harmonic ultrasound imaging (once every beat) of the left ventricle was performed for 9 minutes. A contrast effect could be seen in the myocardium during triggered imaging. Real-time (30 Hz) harmonic ultrasound imaging over the next 4 minutes increased bubble destruction. Left ventricular opacification remained persistent over the 13 - minute imaging period. No adverse hemodynamic effects were observed.

In a separate study, 0.1 cc of reconstituted microparticle agent was administered similarly to a thoracotomized male mongrel dog. Triggered harmonic ultrasound imaging was performed for 1 minute, followed by 4 minutes of increased microparticle destruction with real-time imaging. Again, no adverse hemodynamics effects were seen, and left ventricular opacification was apparent and persistent.

#### Example 15

##### Dye loading of albumin polylactide microparticles

A lyophilized cake in a 10 ml serum vial, composed of excipient and lactide-containing microparticles prepared in a manner similar to Example 11 was placed into a 50 ml centrifuge tube. Enough isopropyl alcohol was added to cover the cake and it was allowed to soak for 30 seconds. Aqueous Pluronic F68 solution (0.25% w/w) was added to fill the tube. After centrifuging, the supernatant was removed and another rinse

performed. A saturated, filtered solution of rhodamine B was added to the microparticles and allowed to soak overnight. Under the microscope, the microparticles appeared filled with dye solution. A dye saturated F68 solution was made to use as a lyophilization excipient. Four ml of the excipient was combined with the approximately 2 ml of microcapsule containing solution and the resulting mixture was split between two 10 ml serum vials. The vials were frozen at -80°C and lyophilized in a FTS tray dryer. Both vials were purged with perfluorobutane gas by five pump-down purge cycles with a vacuum pump. Observation showed some microparticles that were half full of red solution and half full of gas. There was no obvious leakage of the dye from these microparticles during observation. The microparticles were rinsed with four, 20 ml portions of F68 solution on a vacuum filter. The microparticles were placed in a cuvette, centrifuged, and an initial spectra was taken. The cuvette was sonicated in an ultrasonic bath, centrifuged, and another spectra taken.

Abs. Initial (553-800)

1.164

Abs. Sonicated (553-800)

1.86

The higher absorption after sonication indicates that encapsulated dye was released upon insonation of the microparticles.

#### Example 16

##### Preparation of wall modified albumin polycaprolactone microparticles

Albumin coated microcapsules were prepared in a manner similar to example 9 with the exception that 0.20 gm paraffin was also dissolved into the organic solution along with the polycaprolactone and the cyclooctane.

Microscopic inspection of the finished microparticle

suspension revealed spherical particles having a morphology and appearance virtually identical to those prepared without the addition of paraffin.

5

#### Example 17

##### Dye loading of human serum albumin polycaprolactone microparticles

10        A lyophilized cake in, a 10 ml serum vial, composed of  
excipient and paraffin-containing microparticles prepared in  
accordance with example 16 was placed into a 50 ml centrifuge  
tube. The cake was covered with methanol and allowed to soak for  
30 seconds. The tube was then filled with an aqueous solution of  
15        0.25% (w/w) Pluronic F68, gently mixed, and centrifuged in order  
to precipitate the now fluid-filled microcapsules. The  
supernatant was removed and the tubes were again filled with  
pluronic solution. The microparticles were resuspended by  
vortexing and again centrifuged. After removing the supernatant  
20        solution, two ml of a saturated, filtered solution of brilliant  
blue G dye in 0.25% (w/w) aqueous F68 was added. The  
microparticles were allowed to soak for approximately 72 hours.  
Microscopic examination revealed 90-95% of the microparticles to  
be filled with dye solution. A lyophilization excipient was  
25        prepared. Four ml of the excipient was added to the  
microparticle solution and mixed by vortexing. Two 10 ml serum  
vials were filled with 3 ml each of solution and frozen at -80°C.  
The vials were lyophilized on a FTS flask lyophilizer. Both vials  
and a portion of deionized water were purged with perfluorobutane  
30        for 10 minutes. Both vials were reconstituted with deionized  
water and rinsed with two 40 ml portions of 0.25% (w/w) F68  
solution on a vacuum filter. The resulting microparticle  
solution was split into two 3 ml portions. One portion was  
sonicated in an ultrasonic bath to rupture the bubbles. Both  
35        portions were diluted 1/10 with F68 solution and placed into UV-  
visible cuvettes. The cuvettes were centrifuged and a visible



spectra was taken.

5                      Absorption (at 605nm-800nm)  
                    Sonicated            0.193  
                    Non-sonicated        0.136

10            The higher absorption after sonication indicates that  
encapsulated dye was released upon insonation of the  
microcapsules.

#### Example 18

#### 15                      Acoustic resonance of microparticles

To demonstrate a method of acoustically tuning the  
microparticle construct, microparticles prepared in accordance  
with the procedures described in examples 9 and 16 were  
20            reconstituted with deionized water and compared for their  
acoustic properties using procedures described as follows:

Two matched 5 MHz transducers were placed in a tank filled  
with degassed water facing one another. Water depth was  
approximately 3 inches. The transducers, one an emitter and the  
25            other a receiver, were positioned 6 inches apart to maximize the  
received signals. A 2 inch diameter, 2 cm wide circular chamber  
was placed between the two transducers with the mid chamber  
position at 3 inches from the emitter. The two circular faces of  
the chamber were covered with 3 mil polyethylene film and the  
30            chamber was then filled with degassed water. Sound waves readily  
propagated from the emitter through the chamber to the receiver.  
The sound source was set to Gaussian Noise with 10 Volt peak to  
peak amplitude output from the ultrasound generator. The  
receiver signal is amplified with a 17 dB preamp and an  
35            oscilloscope. The oscilloscope digital electronics can perform  
Fast Fourier Transforms (FFT) of the received wave forms and  
display these distributions. After baseline readings were made,

test microparticle contrast materials were delivered within the chamber via hypodermic syringe and thoroughly mixed therein by pumping the syringe. During post-test evaluation, the FFT data was converted into the Transfer Function of the test agent.

The Transfer Function (TF) is determined by dividing the bubble transmission spectral data by the spectral data without bubbles, i.e:

$$TF = T(f)_{\text{with bubbles}} / T(f)_{\text{no bubbles}}$$

where  $T(f)$  is requested by the FFT.

The contrast agent selectively attenuates sound waves depending upon its spectral distribution, i.e. more sound energy is absorbed at or near bubble resonance than off-resonance. Thus the procedure can be used to assess the resonant spectral distribution of the agent.

Data derived from the two agents with nearly identical size distribution but different inner shell thickness were collected on the same day with the same equipment set at the same settings. Everything else was held constant for a variety of agent dosages.

Normalization of the spectra was performed by dividing the spectral array by the minimal value. Thus the peak value becomes unity and when plotted on the same graph it becomes quite easy to differentiate the two graphs. These normalized data are presented in FIG. 4.

Inspection of the results shown in FIG. 4 clearly show that when shell wall compliance is increased, the resonant frequency can be made to shift from 2.3 MHz to 8.9 MHz. Thus, the resonant frequency of an agent can be controlled by controlling the wall composition and thickness.

### Example 19

#### Effect of acoustic properties on in-vivo echogenicity

5 Two air-containing microparticle formulations were evaluated for efficacy in-vivo. One vial of lyophilized microparticles was prepared as described in Examples 1 and 2 (formulation A). A second vial of lyophilized microparticles were prepared in a manner similar to that described in Examples 1 and 2 except that  
10 four times the amount of polymer was used, yielding microcapsules with a thick inner wall and hence a higher resonant frequency (formulation B). Both vials were reconstituted immediately prior to use. From particle size analysis, both formulations had a mean microparticle diameter of approximately 4 microns and nearly  
15 identical microparticle concentration. In-vitro acoustical characterization showed formulation A to have a resonant frequency near 5 MHz, and formulation B to have a resonant frequency greater than 10 MHz. A 5 MHz transesophageal ultrasound probe was positioned in the esophagus of an  
20 anesthetized dog such that a four-chamber view of the heart was obtained. The reconstituted microparticle suspension (4 cc of formulation A) was injected into the femoral vein of the dog. The appearance of the contrast agent was clearly noted in the ultrasound image of the right and left chambers of the heart.  
25 Subsequently, 4 cc of the thick walled microparticle suspension (formulation B) was injected into the femoral vein of the dog. While the appearance of the contrast agent was again clearly noted in the ultrasound image of the heart, the contrast effect was substantially diminished when compared to the equivalent  
30 volume injection of formulation A. Subsequent injections of dilutions made from formulation A demonstrated a greater than four fold dose effectiveness of formulation A which had a resonant frequency near the center frequency of the ultrasound diagnostic system as compared to formulation B with a greater  
35 peak resonant frequency.